

11-25-05

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Express Mail No.: EV452775965US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Breitenbach et al.

Confirmation No.: 9463

Serial No.: 10/523,908 ✓

Art Unit: 1615

Filed: January 28, 2005

Examiner: To be assigned

For: HOT MELT TTS FOR ADMINISTERING
ROTIGOTINE

Attorney Docket No.: 11321-023-999
(Formerly: 62670(46701))

TRANSMITTAL OF REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith a Revocation and Power of Attorney executed by Klaus Veitinger, and by Detlef Thielgen, Members of Executive Board of Schwarz Pharma AG, on behalf of Schwarz Pharma AG, the Assignee of the entire right, title, and interest in the above-identified patent application by virtue of an Assignment attached hereto as Exhibit A.

Applicant requests that the Revocation and Power of Attorney be made of record in the file of the above-identified patent application.

It is estimated that no fee is due in connection with this transmittal. In the event that a fee is required, please charge the required fee to Jones Day Deposit Account No. 50-3013.

Respectfully submitted, by: *Jacqueline Benn*
Reg No. 43,492

Date: November 22, 2005

Thomas G. Rowan 34,419
Thomas G. Rowan (Reg. No.)
JONES DAY
222 East 41st Street
New York, New York 10017
(212) 326-3939

Enclosure



Express Mail No.: EV452775965US
POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Breintenbach et al. Confirmation No.: 9463
Serial No.: 10/523,908 Art Unit: 1615
Filed: January 28, 2005 Examiner: To be assigned
For: HOT MELT TTS FOR ADMINISTERING Attorney Docket No.: 11321-023-999
ROTIGOTINE (Formerly: 62670(46701))

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Schwarz Pharma AG hereby revokes any and all previous powers and appoints:

☒ (A) Practitioners at Customer Number 20583,

AND THE FOLLOWING ATTORNEY WHO IS NOT A PRACTITIONER AT THE ABOVE CUSTOMER NUMBER

(B) Bradford Paul Schmidt, Registry No. 42,128, of Schwarz Pharma, Inc. whose address is 6140 West Executive Drive, Mequon, Wisconsin 53092-4467

as his/her/its/their attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please direct all correspondence address for the above-identified application to:

☒ The above mentioned Customer Number.

☒ Firm or Individual Name:

Address: Jones Day, 222 East 41st Street, New York, New York 10017; Telephone:(212) 901-9028

I am the:

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
(Statement under 37 CFR 3.73(b) is applicable)

SIGNATURE of Assignee of Record

Date:	<u>October 31, 2005</u>	Date:	<u>October 24, 2005</u>
Signature:	<u>Klaus Veitinger</u>	Signature:	<u>Detlef Thielgen</u>
Typed Name:	<u>Klaus Veitinger</u>	Typed Name:	<u>Detlef Thielgen</u>
Position/Title:	<u>Member of Executive Board</u>	Position/Title:	<u>Member of Executive Board</u>

Statement Under 37 C.F.R. 3.73(b)

Schwarz Pharma AG states that it is:

☒ the assignee of the entire right, title, and interest;

in the patent application identified above by virtue of either:

☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office on _____ at Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Copies of assignments or other documents in the chain of title are attached.
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

ASSIGNEE: Schwarz Pharma AG

Date: October 21, 2005

Signature: Klaus Veitinger

Typed Name: Klaus Veitinger

Position/Title: Member of Executive Board

Date: October 24, 2005

Signature: Thielgen

Typed Name: Detlef Thielgen

Position/Title: Member of Executive Board

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required.

☐ Total of _____ forms are submitted.

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Armin Breitenbach (01/05/2005) and Hans-Michael Wolff (01/05/2005)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Schwarz Pharma

Internal Address:

Street Address:

Alfred-Nobel-Strabe 10

City: Monheim Am Rhein

State:

Country: Germany Zip: 40789

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
This application

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Peter F. Corless
EDWARDS & ANGELL, LLP

Internal Address: Atty. Dkt.: 62670(46701)

Street Address: P.O. Box 55874

City: Boston

State: MA Zip: 02205

Phone Number: (617) 439-4444

Fax Number: (617) 439-4170

Email Address: pcorless@edwardsangell.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 04-1105
Authorized User Name Peter F. Corless

9. Signature:

Peter F. Corless - 33,860

Name of Person Signing

January 28, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

6

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV5179932398US, in an envelope addressed to: MS Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 28, 2005

Signature:

Judy Daley
(Judy Daley)

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made this _____ day of _____, _____, by Armin Breitenbach and Hans-Michael Wolff (hereinafter referred to as Assignors), residing at Widdauener Str. 35, Leverkusen, 51371 Monheim, GERMANY; and Richard-Wagner-Str. 2, 40789 Monheim, GERMANY, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in HOT MELT TTS FOR ADMINISTERING ROTIGOTINE, set forth in a Patent application for which an International Application was filed on July 29, 2003, PCT/EP2003/008348, designating the United States; and

WHEREAS, Schwarz Pharma, a organized under and pursuant to the laws of Germany having its principal place of business at Alfred-Nobel-Strabe 10, 40789 Monheim Am Rhein, GERMANY (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as

fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order

to comply with the rules of the United States Patent and Trademark Office for
recordation of this document:

EDWARDS & ANGELL, LLP

All practitioners at Customer Number 21874

AND Assignors acknowledge an obligation of assignment of this invention to
Assignee at the time the invention was made.

05. Jan. 05

Armin Breitenbach
Armin Breitenbach

Witness:

January 5, 2005
Date

Inge Höh
Inge Höh

05 Jan. 2005

Hans-Michael Wolff

Witness:

January 5, 2005
Date

Inge Høi